# Marlin Equity Partners Privacy Notice

# Our commitment to your privacy

Your privacy is important to Marlin Equity Partners, the Partnership, the General Partner, the Management Company and/or its or their respective affiliates and/or delegates ("we", us", "our", "ourselves" or the "Marlin Entities"). We are committed to protecting your privacy when we collect and process your personal information or personal data. Please see the section, "Personal Information and Personal Data" below for the legal meaning of these terms, and note that for, simplicity, we use these terms interchangeably throughout this notice (the "Privacy Notice"). This Privacy Notice explains our personal information policies and practices, including the purposes for which we may collect your personal information, the circumstances in which we may disclose that personal information to third parties, and the measures we take to secure the confidentiality of your personal information. The Privacy Notice also provides contact information you may use to address any privacy concerns you may have or to exercise your right to limit or control the personal information we collect from you.

# How this Privacy Notice applies to you

If you are a natural person, this Privacy Notice will be relevant to you directly. If you are a non-natural person who provides us with personal data on individuals connected to you for any reason in relation to your investment with us (including your partners, officers, directors, employees, shareholders, ultimate beneficial owners, affiliates and other individuals), this Privacy Notice will be relevant to those individuals, and you should provide a copy of this Privacy Notice to them or otherwise advise them of its content (including, where the EU and UK Data Protection Legislation is applicable, in accordance with articles 12, 13 and/or 14 of the EU GDPR or UK GDPR). Any reference to "you", "your" or "investor(s)" in this Privacy Notice means actual or potential investors in our private investment funds (each, a "fund", and collectively, the "funds") and/or, as applicable, any individual connected to the investor for any reason in relation to their investment in a fund.

This Privacy Notice includes jurisdiction-specific supplements at the end. In addition to reading the general sections of this Privacy Notice that follow, if:

- you are a natural person located in the European Union ("<u>EU</u>"), the European Economic Area ("<u>EEA</u>"), United Kingdom ("<u>UK</u>") or the Cayman Islands, or if the EU and UK Data Protection Legislation would otherwise apply to the processing of your personal data, please also read the Supplement for EU and UK Data Protection Legislation for more information about your privacy rights under EU and UK Data Protection Legislation and how to exercise them; or
- you are a natural person residing in California, please also read the California Consumer Privacy Act of 2018, as amended (the "<u>CCPA</u>") Supplement for consumer privacy rights (California residents) for more information about your privacy rights under the CCPA and how to exercise them.

## Sources of Non-Public Information

In connection with offering, forming and operating our funds, we collect and maintain personal information from the following sources:

- Information we receive from you in conversations over the telephone, in voicemails, through written correspondence, via e-mail and other electronic communications, or in subscription agreements, investor questionnaires, applications or other forms (including, without limitation, any anti-money laundering, identification and verification documentation);
- Information about your transactions with us or others;

- Information captured on our websites, fund data room and/or investor reporting portal (as applicable), including registration information, information provided through online forms and any information captured via "cookies"; and
- Information from available public sources, including from publicly available and accessible directories and sources, bankruptcy registers, tax authorities, governmental and competent regulatory authorities, credit agencies, and fraud prevention and detection agencies and organisations.

#### Personal information and personal data

Personal data, as defined under the EU and UK Data Protection Legislation (defined below), is any information that identifies, or can be used to identify, an individual. Personal information, as defined by the CCPA, includes personally identifiable information but also extends to categories of data that can be associated with a particular household or device. Much of the information we may collect from you would be considered personal data, personal information, or both. In this Privacy Notice, we use the terms interchangeably.

#### Disclosure of Information

We monitor communications where the law requires us to do so. We also monitor communications, where required to do so, to comply with regulatory rules and practices and, where permitted to do so, to protect our respective businesses and the security of our respective systems.

If you are currently receiving information or marketing communications from us, you may opt-out from receiving such communications at any time by contacting us using the details at the end of this Privacy Notice or using other unsubscribe functions provided in our communications with you (as applicable).

# Automated methods of processing information

We do not use automation to process personal data collected from or about our investors.

## Security and retention of personal information

We are committed to protecting your personal information from unauthorized access and use. To that end, we have implemented and maintain appropriate technical, organizational, physical, and administrative safeguards and measures. Access to your personal information is restricted to those employees with a need to know.

Your personal information will be retained only so long as it is needed for legitimate business purposes, to perform contractual obligations, or where longer, such longer period as is required by applicable legal or regulatory obligations. Personal data will be retained throughout the life cycle of any investment in the relevant fund. However, some personal data will be retained after a data subject ceases to be an investor in the fund. In some circumstances the personal information may be anonymised so that it can no longer be associated with you, in which case it is no longer personal information and can be kept for an unlimited period of time.

# Supplement for EU and UK Data Protection Legislation

In this Privacy Notice, "<u>EU and UK Data Protection Legislation</u>" means the provisions of all applicable legislation and regulations relating to the protection of personal data in force in the EU, EEA, UK or the Cayman Islands, including (without limitation): Regulation (EU) 2016/679 (the General Data Protection Regulation (the "<u>EU GDPR</u>")), the GDPR as it forms part of the laws of England and Wales, Scotland and Northern Ireland by virtue of Section 3 of the European Union Withdrawal Act 2018 (the "<u>UK GDPR</u>"); the Data Protection Act 2018; any other legislation which implements any other current or future legal act of the EU or the UK concerning the protection and processing of personal data, including any national implementing or successor legislation; the Cayman Islands Data Protection Law, 2017, and including any amendment or re-enactment of the foregoing. For the purpose of this section of the Privacy Notice, the terms "<u>controller</u>," "<u>processor</u>," "<u>data subject</u>," "<u>personal data</u>" and "<u>processing</u>" shall be interpreted in accordance with the applicable EU and UK Data Protection Legislation.

This section supplements the other sections of this Privacy Notice, and applies to the extent that EU and UK Data Protection Legislation applies to our processing of your personal data. If this section applies, you have certain rights with respect to our processing of your personal data, as outlined below.

#### Controller

The General Partner is acting as data controller on behalf of the Partnership. In simple terms, this means that the General Partner: (i) "control" the personal data that we collect about you; and (ii) make certain decisions on how to use and protect such personal data.

#### Personal data and collection sources

The type of personal data we collect about you, and the sources we collect such personal data from, is described below. As part of compliance with legal obligations such as AML/KYC, we may be required to process special categories of personal data, including personal data relating to political opinions as well as criminal convictions and offences.

The categories of personal information we collect includes:

- Identifiers and contact details such as names, physical addresses, e-mail addresses, telephone numbers, date of birth, birthplace, nationality, citizenship and location of residence;
- Other customer records such as signatures, bank account numbers, tax ID numbers, social security number, income, assets and information regarding investors' tax or other status under applicable laws and regulations;
- Commercial information such as account data, risk tolerance, investment experience and activity, and other information contained in any document provided by investors (whether directly or indirectly);
- Risk tolerance, transaction history, investment experience and investment activity;
- Accounts and transactions with other institutions;
- Information regarding a potential investor's interest in the fund, including ownership percentage, capital investment, income and losses;
- Source of funds used to make the investment in the fund;
- Anti-money laundering, identification and verification documentation (including ID card, drivers' license and passport numbers);
- Information regarding your online activity such as your use of our website, data rooms, and investor reporting portals, including IP addresses; and
- Other personal data you provide us so we can contact you or service your account.

We may, in certain circumstances, combine personal data we receive from an investor with information that we collect from, or about such investor. This will include information collected in an online or offline context.

You may, at your discretion, refuse to communicate personal data to us. In this event, however, failure to provide the personal data requested to fulfil the purpose described in this Privacy Notice may result in us being unable to provide the requested services to you or as required under the Subscription Agreement and/or the Partnership Agreement.

## Purposes of processing and lawful basis

We collect and process your personal data for the purposes, and on the relevant lawful bases, described below.

When processing your personal data for the purposes set out below, we generally rely on one or more of the following legal bases: (i) to fulfill our contractual obligations to you (i.e., for the purpose of taking the steps required to enter into contracts with you and on the basis of contractual necessity); (ii) to comply with applicable law and regulations; and/or (iii) to promote our legitimate interests in operating our businesses, including when processing your personal data, in each case, as set out in the table below.

| No.   | Purpose   | Lawful Basis for the Purposes of<br>the EU and UK Data Protection<br>Legislation                                     |
|-------|---|--|
| i.    | To contact you or your designated representative regarding fund and other business, and the ongoing communication with investors, their representatives, advisors and agents, (including the negotiation, preparation and signature of documentation) during the process of admitting investors to the fund and execution of all relevant agreements. | As necessary to fulfill our contractual obligations to you and/or our legitimate interest in operating our business. |
| ii.   | In the performance of our contractual and legal obligations (including applicable anti-money laundering, KYC and other related laws and regulations) in assessing suitability of investors in the fund.   | As necessary to fulfill our contractual obligations to you and to comply with applicable law and regulations.        |
| iii.  | To facilitate the execution, continuation or termination of the contractual relationship between you and the relevant funds.  | As necessary to fulfill our contractual obligations to you.  |
| iv.   | To facilitate the transfer of funds, and administering and facilitating any other transaction, between you and the fund.  | As necessary to fulfill our contractual obligations to you.  |
| V.    | To create and manage your investor accounts to allow you to purchase your holding (of shares) in the fund.  | As necessary to fulfill our contractual obligations to you.  |
| vi.   | The administrative processes (and related communications) carried out between the Marlin Entities in preparing for the admission of investors to the fund.  | As necessary to fulfill our contractual obligations to you.  |
| vii.  | To share information about our services (including the opportunity to make investments in other products or funds or receive other services).   | Our legitimate interests in operating our business.  |
| viii. | The ongoing administrative, accounting, reporting and other processes and communication required to operate the business of the General Partner and/or the fund in accordance with the applicable fund documentation.   | As necessary to fulfill our contractual obligations to you and/or to comply with applicable law and regulations.     |
| ix.   | To enhance the quality and effectiveness of our communications with clients and investors.  | Our legitimate interests in operating our business.  |
| X.    | To detect security incidents and protect against malicious or deceptive activity, to protect our property and assets and to protect your safety and the safety of others.   | Our legitimate interests in operating our business.  |
| xi.   | To enable any actual or proposed assignee or transferee, participant or sub-participant of the fund's rights or obligations to evaluate proposed transactions.  | Our legitimate interests in operating our business.  |
| xii.  | To facilitate business asset transactions involving the fund.   | Our legitimate interests in operating our business.  |
| xiii. | To fulfill our legal and regulatory obligations (including responding to requests from law enforcement, government authorities, relevant regulatory authorities, tax authorities, or other third parties, as  | As necessary to fulfill our contractual obligations to you   |

|      | necessary to comply with law, regulation or legal processes such as subpoenas and document requests, or as required by anti-money laundering and tax information exchange rules). | and/or to comply with applicable law and regulations. |
|------|---|---|
| xiv. | Any other purpose that has been notified, or has been agreed, in writing.   | As notified at the relevant time.                     |

Personal data relating to political opinions of data subjects having a public political exposure will be processed on the basis of article 9, (2), e) and/or g) of the EU GDPR and UK GDPR as applicable (i.e. where the personal data have manifestly been made public by the data subject and is necessary for reasons of substantial public interest).

From time to time, we may need to process your personal data on other legal bases, including: (i) with consent (which we will obtain from you if required); (ii) if it is necessary to protect the vital interests of an investor or other data subjects; or (iii) or if it is necessary for a task carried out in the public interest.

## Security and retention of personal data

Our security measures and details about how long we retain your personal data for are described above in the section, "Security and retention of personal information".

## Sharing and transfers of personal data from the EU (or EEA) to the US and other countries

We may disclose your personal data amongst ourselves and, to the extent permitted by EU and UK Data Protection Legislation, may disclose your personal data to third parties located outside the EEA, including service providers, employees, agents, contractors, consultants, professional advisers, lenders, data processors and persons employed and/or retained by them in order to fulfil the purposes described in this Privacy Notice. In addition, we may share personal data with regulatory bodies having competent jurisdiction over them, as well as with the tax authorities, auditors and tax advisers (where necessary or required by law).

In some cases, these transfers may transmit your personal data to, or involve the processing and storage of your personal data in, a country other than the one in which the information was collected. Personal data collected within the UK, EU or the EEA may be transferred to a Non-Equivalent Country. "Non-Equivalent Country" means a country or territory other than: (i) a member state of the EEA; (ii) the UK; or (iii) a country or territory which has at the relevant time been decided by the European Commission or the Government of the United Kingdom (as applicable) in accordance with EU and UK Data Protection Legislation to ensure an adequate level of protection for personal data.

We have implemented procedures to ensure that appropriate safeguards are in place to protect your personal data when it is transferred to a Non-Equivalent Country. We or our service providers may transfer personal data to a Non-Equivalent Country in order to fulfil the purposes described in this Privacy Notice and in accordance with applicable law, including where such transfer is a matter of contractual necessity to enter into, perform and administer the relevant Subscription Agreement and the Partnership Agreement, and to implement requested pre-contractual measures. For further information on how we safeguard and legitimize transfers of your personal data, please contact us using the details at the bottom of this Privacy Notice.

## Your rights under EU and UK Data Protection Legislation

If the EU and UK Data Protection Legislation applies, then you have the following rights in relation to your personal data (which may be subject to certain exceptions in specific circumstances):

- To request access to, and receive a copy of, your personal data.
- To obtain information about, or (where applicable) withdraw any consent given in relation to, the processing of your personal data.
- To request rectification of your personal data.
- To take your data to another service provider (i.e. data portability).
- To request erasure of your personal data.

- To restrict or object to the processing of your personal data.
- To request the right not to be subject to automated decision-making.

Please note that the right to erasure is not absolute and it may not always be possible to erase personal data on request, including where the personal data must be retained to comply with a legal or regulatory obligation. In addition, erasure of the personal data requested to fulfill the purposes described in this Privacy Notice, may result in the inability to provide the services required pursuant to the relevant Subscription Agreement and the Partnership Agreement. In case you disagree with the way in which we process your personal data, you have the right to lodge a complaint with the data protection supervisory authority in your relevant jurisdiction.

In addition, if your consent was the legal basis for our collection and processing of your personal data, you have the right to withdraw that consent at any time. However, your withdrawal of consent may not prevent our continued processing of your personal data if certain circumstances and exceptions apply.

You may raise any request relating to the processing of your personal data at EUprivacydata@marlinequity.com.

### Right to complain

You have the right to make a complaint at any time with regards to how your personal data has been processed by us under:

- the UK GDPR and/or Data Protection Act 2018, please contact the UK Information Commissioner's Office
   – https://ico.org.uk/global/contact-us; or
- the EU GDPR, please contact your local supervisory authority in the EU Member State of your habitual residence or place of work, or in which the infringement, concern or complaint arose.

# **CCPA** supplement for consumer privacy rights (California residents)

Under the CCPA, consumers residing in California are granted the following rights (among others) regarding their personal information when it is collected and processed by a business. This supplement is only relevant to you if you are a resident of California as determined in accordance with the CCPA. Information required to be disclosed to California residents under the CCPA regarding the collection of their personal information that is not set forth in this CCPA supplement is otherwise set forth above in the Privacy Notice. To the extent there is any conflict with the privacy requirements under the Gramm-Leach-Bliley Act and/or Regulation S-P ("GLB Rights"), GLB Rights shall apply.

Categories of Personal Information We Collect: We have collected some or all of the following categories of personal information from individuals within the last twelve (12) months:

| Category   | Examples  | Collected |
|--|---|-----------|
| A. Identifiers   | Name, contact details and address (including physical address, email address and Internet Protocol address), and other identification (including social security number, passport number and driver's license or state identification card number).   | YES       |
| B. Personal information categories listed in the California Customer Records statute (Cal. Civ. Code § 1798.80(e)) | Telephone number, signature, bank account number, other financial information (including accounts and transactions with other institutions and anti-money laundering information), and verification documentation and information regarding investors' status under various laws and regulations (including social security number, tax status, income and assets). | YES       |

| C. Protected classification characteristics under California or federal law   | Date of birth, citizenship and birthplace.   | YES  |
|---|--|--|
| D. Commercial information   | Account data and other information contained in any document provided by investors to authorized service providers (whether directly or indirectly), risk tolerance, transaction history, investment experience and investment activity, information regarding a potential and/or actual investment in the applicable fund(s), including ownership percentage, capital investment, income and losses, source of funds used to make the investment in the applicable fund(s). | YES  |
| E. Biometric information  | Imagery of the iris, retina, fingerprint, face, hand, palm, vein patterns and voice recordings or keystroke patterns or rhythms, gait patterns or rhythms, and sleep, health, or exercise data that contains identifying information.  | NO   |
| F. Internet or other similar network activity   | Use of our website, fund data room and investor reporting portal (e.g., cookies, browsing history and/or search history), as well as information you provide to us when you correspond with us in relation to inquiries  | YES  |
| G. Geolocation data   | Physical location or movements.  | NO   |
| H. Sensory data   | Audio, electronic, visual, thermal, olfactory, or similar information.   | NO   |
| I. Professional or<br>employment-related<br>information   | Current or past job history or performance evaluations.  | NO   |
| J. Non-public<br>education<br>information (per the<br>Family Educational<br>Rights and Privacy<br>Act (20 U.S.C.<br>Section 1232g, 34<br>C.F.R. Part 99)) | Education records directly related to a student maintained by an educational institution or party acting on its behalf, such as grades, transcripts, class lists, student schedules, student identification codes, student financial information, or student disciplinary records.   | NO   |
| K. Inferences drawn<br>from other personal<br>information   | Profile reflecting a person's preferences, characteristics, psychological trends, predispositions, behavior, attitudes, intelligence, abilities, and aptitudes.  | NO   |
| L. Sensitive Personal Information (see further information on use of sensitive  | Social security, driver's license, state identification card, or passport numbers; account log-in, financial account, debit card, or credit card number in combination with any required security or access code, password, or credentials allowing access to an account; precise geolocation; racial or ethnic origin; religious or philosophical beliefs; union membership; genetic data; the contents   | YES, as to the following types of information: social security, driver's license, state identification care, |

| personal           | of a consumer's mail, email, and text messages unless you are the  | or passport          |
|--------------------|--|----------------------|
| information below) | intended recipient of the communication; biometric information for | numbers, account     |
| ·                  | the purpose of uniquely identifying a consumer; and personal       | log-in, financial    |
|                    | information collected and analyzed concerning a consumer's         | account in           |
|                    | health, sex life, or sexual orientation.                           | combination with     |
|                    |  | any required         |
|                    |  | security or access   |
|                    |  | code password, or    |
|                    |  | credentials allowing |
|                    |  | access to an account |
|                    |  | only.                |

We do not collect or use sensitive personal information other than:

- To perform services, or provide goods, as would reasonably be expected by an average consumer who requests those goods or services;
- As reasonably necessary and proportionate to detect security incidents that compromise the availability, authenticity, integrity, and confidentiality of stored or transmitted personal information;
- As reasonably necessary and proportionate to resist malicious, deceptive, fraudulent, or illegal actions directed at us and to prosecute those responsible for such actions;
- For short-term, transient use (but not in a manner that discloses such information to another third party or is used to build a profile of you or otherwise alter your experience outside of your current interaction with us);
- To perform services on behalf of our business;
- To verify or maintain the quality or safety of a service or to improve, upgrade, or enhance such service or device; and
- To collect or process sensitive personal information where such collection or processing is not for the purpose of inferring characteristics about a consumer.

Purposes for Collecting Personal Information: We may collect or disclose the personal information we collect about you for one or more of the following business or commercial purposes:

- Performing services to you, including but not limited to:
  - The administrative processes (and related communication) in preparing for the admission of investors to the fund(s), including administering, managing and setting up an investor's account(s) to allow such potential investor to purchase interests in the fund(s);
  - Ongoing communication with potential investors, their representatives, advisors and agents (including the negotiation, preparation and signature of documentation) during the process of admitting potential investors to the fund and the execution of all relevant agreements;
  - The performance of obligations under the governing documents of the funds (and all applicable anti-money laundering, KYC and other related laws and regulations) in assessing suitability of potential investors in the applicable fund;
  - Ongoing operations, administrative, accounting, reporting, account maintenance and other
    processes and communication required to operate the business of the funds in accordance with
    its governing documents and other documentation between the parties, including customer
    service, processing or fulfilling transactions, verifying personal information, processing
    contributions and distributions and financing;
  - Keeping investors informed about the business of the general partner or managing member of the applicable fund and its affiliates generally, including offering opportunities to make investments other than to the applicable fund and related advertising;
  - Facilitating the execution, continuation or termination of the contractual relationship between an investor and the general partner or managing member, the investment adviser and/or the fund(s);

- Facilitating the transfer of fund interests, and administering and facilitating any other transaction, between an investor, the general partner or managing member, the investment adviser and/or the fund(s);
- Auditing and verifications related to investor interactions, including but not limited to, verifying the quality and effectiveness of services and compliance;
- Maintaining the safety, security and integrity of our products and services, databases, technology assets
  and business, including detecting security incidents, protecting against malicious, deceptive, fraudulent,
  or illegal activity;
- Enabling any actual or proposed assignee or transferee of the fund(s), to evaluate proposed transactions;
- facilitating business asset transactions involving the funds; and
- Complying with U.S., state, local and non-U.S. laws, rules and regulations.

We collect personal information from the sources set forth in "Sources of Non-Public Information" in the Privacy Notice above. We retain the categories of personal information set forth above in the "Categories of Personal Information We Collect" section of this Privacy Notice Supplement only as long as is reasonably necessary for those purposes set forth above, except as may be required under applicable law, court order or government regulations.

*Disclosure of Information*: We do not share for the purpose of cross-context behavioral advertising or sell (as such terms are defined in the CCPA) any of the personal information we collect about you to third parties.

Within the last twelve (12) months, we have disclosed personal information collected from you for a business purpose to the categories of third parties indicated in the chart below. We may also disclose your information to other parties as may be required by law or regulation, or in response to regulatory inquiries.

| Personal Information<br>Category   | Category of Third-Party Recipients  |
|--|---|
| A. Identifiers   | Administrators, lenders, banks, auditors, insurers, law firms, governmental agencies or pursuant to legal process, self-regulatory organizations, consultants and placement agents. |
| B. Personal information<br>categories listed in the<br>California Customer Records<br>statute (Cal. Civ. Code §<br>1798.80(e)) | Administrators, lenders, banks, auditors, insurers, law firms, governmental agencies or pursuant to legal process, self-regulatory organizations, consultants and placement agents. |
| C. Protected classification<br>characteristics under<br>California or federal law  | Administrators, lenders, banks, auditors, insurers, law firms, governmental agencies or pursuant to legal process, self-regulatory organizations, consultants and placement agents. |
| D. Commercial information  | Administrators, lenders, banks, auditors, insurers, law firms, governmental agencies or pursuant to legal process, self-regulatory organizations, consultants and placement agents. |
| E. Biometric information   | N/A   |
| F. Internet or other similar network activity  | Administrators, lenders, banks, auditors, insurers, law firms, governmental agencies or pursuant to legal process, self-regulatory organizations, consultants and placement agents. |

| G. Geolocation data  | N/A   |
|--|---|
| H. Sensory data  | N/A   |
| I. Professional or<br>employment-related<br>information  | N/A   |
| J. Non-public education information (per the Family Educational Rights and Privacy Act (20 U.S.C. Section 1232g, 34 C.F.R. Part 99)) | N/A   |
| K. Inferences drawn from other personal information  | N/A   |
| L. Sensitive Personal Information  | Administrators, lenders, banks, auditors, insurers, law firms, governmental agencies or pursuant to legal process, self-regulatory organizations, consultants and placement agents. |

## Rights under the CCPA

**Deletion Rights:** You have the right to request that we delete any of your personal information that we retain, subject to certain statutory exceptions, including but not limited to our compliance with U.S., state, local, and non-U.S. laws, rules, and regulations. We will notify you in writing if we cannot comply with a specific request and provide an explanation of our decision.

**Disclosure and Access Rights:** You have the right to request that we disclose certain information regarding our collection and use of personal information specific to you. Such information includes:

- The categories of personal information we collected about you
- The categories of sources from which the personal information is collected
- Our business or commercial purpose for collecting the information
- The categories of third parties with which we disclose the personal information
- The specific pieces of personal information we have collected about you
- Whether we disclosed your personal information to a third party and, if so, the categories of personal information that each recipient received

Correction Right: You have the right to request that we correct any inaccuracies in the personal information that we retain, subject to certain statutory exceptions, including, but not limited to, our compliance with U.S., state, local and non-U.S. laws, rules and regulations. We will notify you in writing if we cannot comply with a specific request and provide an explanation of the reasons.

**No Discrimination:** We will not discriminate against you for exercising your rights under the CCPA, including by denying service, suggesting that you will receive, or charging, different rates for services or suggesting that you will receive, or providing, a different level or quality of service to you.

#### How to Exercise Your Rights

California residents may exercise any of their rights under the CCPA by submitting a request using the contact details provided below.

We will contact you to confirm receipt of your request under the CCPA and request any additional information necessary to verify your request. We verify requests by matching information provided in connection with your request to information contained in our records. Depending on the sensitivity of the request and the varying levels of risk in responding to such requests (for example, the risk of responding to fraudulent or malicious requests), we may request further information or your investor portal access credentials, if applicable in order to verify your request. You may designate an authorized agent to make a request under the CCPA on your behalf, provided that you provide a signed agreement verifying such authorized agent's authority to make requests on your behalf, and we may verify such authorized person's identity using the procedures above. If we request you verify your request and we do not receive your response, we will pause processing your request until such verification is received.

# **Changes to this Privacy Notice**

This Privacy Notice may be updated periodically and without prior notice to reflect changes in our personal data handling practices. This version of the Privacy Notice was revised and posted to the investor data room in May 2023.

# Questions, concerns or complaints

If you have any questions, concerns, or complaints regarding our privacy practices or the information in this Privacy Notice, or would like to exercise your rights in relation to our processing of your personal information, please email us at <a href="mailto:compliance@marlinequity.com">compliance@marlinequity.com</a>, submit a request using our <a href="mailto:contact form">contact form</a>, or call us toll-free at 1-833-451-0350.

If the EU and UK Data Protection Legislation applies to the personal data that we collect on you, you may also contact us by emailing <a href="mailto:EUprivacydata@marlinequity.com">EUprivacydata@marlinequity.com</a>.

We strive to respond to verifiable requests within one month of receipt, but in certain cases additional time may be required.